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UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/709,467

11/13/2000

Yoshimitsu Fukushima

Q61548

**CONFIRMATION NO. 4173** 

FORMALITIES LETTER

OC000000005859803\*

Date Mailed: 03/14/2001

SUGHRUE, MION, ZINN, MACPEAK & SEAS 2100 Pennsylvania Avenue, N.W.

Washington, DC 20037-3213

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

  Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

A copy of this notice <u>MUST</u> be returned with the reply.

**Customer Service Center** 

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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#### PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Yoshimitsu FUKUSHIMA, et al/

Appln. No.: 09/709,467

Confirmation No.: 4173

Filed: November 13, 2000

For: DISK PLAYER

Group Art Unit: 2651

Examiner: Not yet assigned

## SUBMISSION OF EXECUTED DECLARATION

ATTN: BOX MISSING PARTS

Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts of Nonprovisional Application filed under 37 CFR 1.53(b) - Filing Date Granted," mailed March 14, 2001, for the above application, Applicant respectfully submits herewith the Declaration for the above identified application properly executed by the inventors. Also enclosed please find a Submission of Formal Drawings and nineteen (19) sheets of drawings, a Submission of Priority Document and one (1) priority document and an executed Assignment and PTO Form 1595.

Checks for the statutory fee of \$840.00 and Assignment recordation fee of \$40.00 are attached. Please also charge any underpayment or credit any overpayment to Deposit Account No. 19-4880. A duplicate copy of this paper is attached.

Respectfully submitted,

Darryl Mexic

Registration No. 23,063

SUGHRUE, MION, ZINN, MACPEAK & SEAS, PLLC 2100 Pennsylvania Avenue, N.W. Washington, D.C. 20037-3213

Telephone: (202) 293-7060 Facsimile: (202) 293-7860

DM/plr

Date: May 14, 2001

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#### PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Yoshimitsu FUKUSHIMA, et a

Appln. No.: 09/709,467

Confirmation No.: 4173

Filed: November 13, 2000

For: DISK PLAYER

Group Art Unit: 2651

Examiner: Not yet assigned

### SUBMISSION OF FORMAL DRAWINGS

Commissioner for Patents Washington, D.C. 20231

Sir:

Submitted herewith please find nineteen (19) sheets of formal drawings. The Examiner is

respectfully requested to acknowledge receipt of these formal drawings.

Respectfully submitted,

SUGHRUE, MION, ZINN, MACPEAK & SEAS, PLLC

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